



Investor
Services

Trade Management Case Study

Supporting the growth of a boutique asset manager



Business case

Our client, a boutique asset manager with active positions in over 30 markets, strengthened their operational controls, enhanced visibility into daily trading and evolved alongside shifting regulatory and market requirements through the help of our trusted partnership.



Navigating complexities

Operating with a single team in North America, our client faced mounting time pressures and risk exposure while settling trades across multiple time zones. Inconsistencies in their in-house controls led to operational inefficiencies and bespoke processes for specific trades and markets.

In addressing these challenges, we partnered with our client to reimagine their trade management model, resulting in greater operational effectiveness. Our collaboration also led to cost reductions and simplified reporting requirements associated with failed trades, particularly with the introduction of the Central Securities Depositories Regulation (CSDR) and tighter trade windows.



Trade Management The value of a holistic solution

We introduced a robust **Trade Management solution** that provides 24/6 coverage for all markets while carefully factoring in the costs of market utility licenses, acronyms, SWIFT instruction charges and the complexities of vendor contract management.

The implementation of our dynamic near real-time dashboards also enabled greater control and visibility into our client's funds and trading activities, facilitating timely responses to changing regulatory and market conditions.

Unlocking the benefits of our tailored solution

The impact of our partnership



Sustained growth and optimized performance

We capitalized on our 24/6 coverage and deep-rooted broker relationships to help our client swiftly resolve issues within their local time zone. As the client continues to diversify and grow, our specialized expertise will support their entry into new markets and enhance cross-border transactions.



Reduced infrastructure costs

By utilizing our custodian-agnostic technology ecosystem, which connects seamlessly with the front office and market utilities, our client benefited from reduced infrastructure costs and transitioned from a high fixed-cost model to a flexible variable model based on trading volumes and activity.



Elevated oversight and control

Through our client-led partnership, we effectively addressed mismatched trades and settlement issues, giving our client improved visibility and oversight into transaction and settlement statuses with access to streamlined reporting dashboards.



Renewed focus on core business

With our integrated end-to-end trade management solution, our client has been able to shift their focus from operational trade processing tasks to strategic projects and revenue-generating activities. The deployment of our cloud-based, open architecture trade management solution has decreased our client's reliance on their current operating models and tools, thereby strengthening their overall resilience.



Enhanced compliance and market agility

By leveraging a unified view of penalties and standardized data for CSDR-related regulatory changes, along with the combined expertise of our regulatory solutions team, our client benefits from enhanced oversight and informed decision-making amid market fluctuations.

We're here to help

Interested in learning more about our solutions?
Get in touch with our team for more information.

Contact us today



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