



Investor
Services

Corporate Actions Case Study

A consolidated corporate action service delivering an amalgamated event scrubbing process, provision of a centralized notification portal and the ability to execute one election instruction which is disseminated across all relevant events and custodians efficiently and accurately.

The Client

An asset manager with a sophisticated, actively managed global investment strategy spanning across multiple markets and with assets held across more than 10 custodial relationships.

The Challenge

The manager's far-reaching investment portfolios and multifaceted operating model resulted in a range of corporate action announcements being received from various custodians – each with their own corporate action election portals, election processes and deadlines across different time zones.

The manager was looking to increase control, transparency and simplicity when managing their corporate action responsibilities.

The Solution

RBC Investor Services (RBCIS) implemented a corporate actions solution leveraging our single online corporate action platform which allows clients to make elections seamlessly through an enhanced digital experience.

Our corporate action solution manages event scrubbing, notification and election processing, while supporting the consolidation of numerous corporate action elections into a single golden notification with standing instructions applied where applicable.

RBCIS technology platform is underpinned by a global operating model spanning across all trading locations and provides our clients with a centralized point of contact with an RBCIS representative in their time zone.

The Benefits

The realized benefits of this partnership include:

- A consolidated digital client experience with all notifications and elections across multiple custodians
- A single notification of events across all portfolios, consistent workflows and reporting
- A golden 'scrubbed' record utilizing our network of market vendors and custodian notifications
- Reduced operational risk through high levels of automation
- Efficiency and simplicity gained by having access to a centralized point of contact for all corporate events queries

For More Information

To learn more or request a demonstration of this solution, contact your RBCIS representative or one of the middle office specialists listed below.



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