FII Market Entry Requirements for Chile

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| **Important** | The following definition of terms must be taken in account as part of the account opening process:  • Client of RBC - the final investor is RBC’s client and has a legal and contractual relationship with RBC.  • Indirect client of RBC - The final investor is not a direct client of RBC.  An example of this is as follows:  ABC are a client of RBC and DEF is a client of ABC, DEF are the final investor wanting in invest in Chile however do not have any Legal and/or contractual relationship with RBC therefore DEF are not a client of RBC and as such RBC are unable to sign on behalf of an indirect client.   Note: As part of the FII account opening process all documentation must be in exactly the same investor name and on the investor's letterhead where appropriate. |
| **Fees** | None |
| **Tax Consultant** | Not required |
| **E-signature** | Accepted |

## Account Opening

**Documentation Required by the Agent**

1. Information required from applicant:

INVESTOR'S LEGAL NAME:

ACCOUNT NAME:

NATIONALITY/COUNTRY OF ORIGIN/JURISDICTION:

COUNTRY OF RESIDENCE FOR TAX:

LEGAL ADDRESS:

DATE OF INCORPORATION/FORMATION:

ORIGINAL TAX ID N.:

CHILEAN TAX ID N. (if applicable):

b) No specific establishment documentation is required

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| Timing | The market timeframe for opening accounts is 5 business days post completion and acceptance of documents by the agent. The documents may also be subject to regulatory and KYC checks and additional documents may be requested by the agent/market authorities, which could potentially extend the normal processing time. |
| Sub-custodian | RBC IS has appointed Banco de Chile as Sub-custodian. Their role is to open and manage the securities account. |
| Further Details | None |

### Name change

**Documentation Required by the Agent**

* Any document proving the name change issued by the applicable registration authority in their jurisdiction.

### Account Closure

No documentation required however client should always ensure there are no outstanding trades, corporate actions, fees and holdings prior to sending the closure instruction.

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